

**FORM 1(a)**

*[See rules 4(2), 8 (2), 13(2) (ii), 13(2) (vi) and 13(4) (i)]*

**APPLICATION FOR OBTAINING AUTHORISATION FOR GENERATION OR STORAGE OR  
TREATMENT OR DISPOSAL OF E-WASTE BY MANUFACTURER  
OR REFURBISHER\***

From: Unit Name  
Plot No./Survey No  
Industrial Estate name  
Location  
Village/Municipality Area  
Taluka  
State  
Pin Code

To

The Member Secretary,  
Goa State Pollution Control Board,

Sir,

I / We hereby apply for authorisation/renewal of authorization under rule 13(2) (i) to 13(2) (viii) and/or 13 (4) (i) of the E-Waste (Management) Rules, 2016 for collection/storage/ transportation/ treatment/ refurbishing/disposal of e-wastes

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**For Office Use Only**

Code No. :

Whether the unit is situated in a critically polluted area as identified by Ministry of Environment and Forests (yes/no)

**To be filled in by Applicant**

1. Name of Authorized <sup>+</sup>Occupier with designation and contact no. :
2. Name of Authorized Contact Person with designation and contact no:
  - a. Head Office Address with telephone Nos, Fax. No. and E-mail (if applicable):

b. Local Office Address with telephone Nos, Fax. No. and E-mail (if applicable):

3. Authorization required for (Please tick mark appropriate activity/ies\*)

- Generation during
- (i) manufacturing\*
  - (ii) refurbishing \*
  - (iii) Treatment, if any
  - (iv) Collection,
  - (v) Transportation,
  - (vi) Storage

4. E-waste details:

- a) Total quantity e-waste generated in MT/A
- b) Quantity refurbished
- c) Quantity sent for recycling
- d) Quantity sent for disposal

	Type of electrical and electronic equipment/ their components^ or consumables or parts or spares manufactured/ refurbished	EEE Code No <sup>#</sup>	QTY in MT/A	Party Name and Authorisation No. (as applicable)
a.	Refurbished(applicable to refurbisher)			
b.	Recycled			
c.	Disposed			
d.	Other (Please specify)			
e.	<b>Total Quantity of E-Waste generated</b>			

# Pls refer Schedule I in the E-Waste (Management) Rules 2016 ^ Pls refer Schedule II in the E-Waste (Management) Rules 2016

5. a. Mode/Details of Facilities for storage @ (as applicable)

b. Mode/Details of Facilities for handling<sup>@</sup> (as applicable)

c. Mode/Details of Facilities for treatment <sup>@</sup>(as applicable)

d. Mode/Details of Facilities for refurbishing<sup>@</sup>(as applicable)

e. Mode/Details of Facilities for Disposal<sup>@</sup>: as applicable

Please attach sheets with details if required,

6. In case of renewal of authorization previous authorization no. and date and details of annual returns:
  
7. The authorization is subject to the conditions stated below and such conditions as may be specified in the rules for the time being in force under the Environment (Protection) Act, 198

Place : \_\_\_\_\_

Signature:

(Name: \_\_\_\_\_ )

Date : \_\_\_\_\_

Designation: \_\_\_\_\_

**Note:-**

- (1) \* The authorisation for e-waste may be obtained along with authorisation for hazardous waste under the Hazardous Wastes (Management, Handling and Transboundary Movement) Rules, 2008, if applicable.
- (2) + Refer Sr.4 of Attachments
- (3) <sup>@</sup> Please refer - CPCB's Implementation Guidelines for E-Waste (Management) Rules, 2016
- (4) Wherever necessary, use additional sheets to give requisite and necessary details.

**ATTACHMENTS:**

Sr.No	Attachment	\$At/NAt or Ap/NAp
1.	Consent to Operate granted by the concerned State Pollution Control Board under the Water (Prevention and Control of Pollution) Act, 1974, (25 of 1974) and the Air (Prevention and Control of Pollution) Act, 1981 (21 of 1981); Hazardous Waste Authorization	
2.	Certificate of Registration issued by the District Industries Centre or any other government agency authorised in this regard;	
3.	Proof of installed capacity of plant and machinery issued by the District Industries Centre or any other government agency authorised in this behalf	
4.	*Authorization letter of occupier ( "Occupier ' of a unit includes manufacturer, producer, consumer, bulk consumer, collection centres, dealers, e-retailer, refurbisher, dismantler and recycler as defined in Sect 2 & 3 of the said Rules i.e means the authorized person who has ultimate control over the affairs of the concerned unit))	
5.	Authorization Letter from the Occupier for authorized representative /contact person	
6.	Copy of Extended Producers Responsibility Authorisation and Plan, if applicable	
7.	Detailed List of Authorized collection centers/dealers/Producers/recyclers /dismantlers /refurbishers/Transporters with location address and contact details to cater to the collection needs from respective area within State and their respective	
a.	Copies of agreement document with dealers, producers, collection centers, dismantlers, refurbishers, recyclers, manufacturers, treatment, storage and disposal facilities (TSDFs) etc as applicable including Authorization copy	
b.	area of operation and	
c.	collection plans including details of their collection points /bins / collection vans linked to collection centres or take back system, deposit refund scheme, e-waste exchange, retailers/dealers,as applicable.	
d.	consumer awareness etc.	

Sr.No	Attachment	§At/NAt or Ap/NAp
e.	Storage area details(Covered shed/spaces have to be used for storage of E-Waste) with adequate fire fighting arrangement escape route, for emergency exit	
f.	Authorized Transportation details and Plan with final disposal to a treatment, storage and disposal facility will follow the provisions under Hazardous and Other Wastes (Management and Transboundary Movement) Rules, 2016	
7.	Detailed List and copies of Authorized Representative name designation & contact No. (minimum 02 contact nos. )and addresses of Authorized Collection Centres/Dealers/Producers/recyclers/dismantlers /refurbishers as applicable as per respective Authorizations as per applicable statutes	
8.	Certified declaration of hazardous substances in the manufacture of their respective electrical and electronic equipment and their components or consumables or parts or spares in line with requirements stated in Rule 16 & Schedule II as applicable alongwith a declaration of conformance to the Reduction of Hazardous Substances provisions in the product by a third party Authorized agency	
9.	Authorized declaration of imports or placement in the market for new electrical and electronic equipment (The same shall be permitted only for those which are compliant to provisions of sub-rule (1) and sub rule (4) of rule 16 .)	
10.	List of Imported electrical and electronic items, if applicable and their respective hazardous substance content.	

§At: Attached /NAt: Not Attached or Ap: Applicable /NAp: Not Applicable

Signature:

(Name: \_\_\_\_\_ )

Designation: \_\_\_\_\_

Date : \_\_\_\_\_