

FORM-4

[See rules 13(3)(i) and 13(3)(vi)]

APPLICATION FORM FOR AUTHORISATION OF FACILITIES POSSESSING ENVIRONMENTALLY SOUND MANAGEMENT PRACTICE FOR DISMANTLING OR RECYCLING OF E-WASTE

(To be submitted in triplicate)

1.	Name and Address of the unit	:	Unit Name, Plot No./Survey No Industrial Estate name, Location Village/Municipality Area, Taluka State, Pin Code
2.a	Authorized Occupier ⁺ with designation, Tel./Fax	:	
b.	Authorized Contact person with designation, Tel./Fax	:	
3	Date of Commissioning	:	
4	No. of workers (including contract labour)	:	<i>Workers + Contract= Total</i>
5.	Consents Validity	:	a. Water (Prevention and Control of Pollution) Act, 1974; No.: _____ Dt. Of Issue: _____ Valid up to _____ b. Air (Prevention and Control of Pollution) Act, 1981; No.: _____ Dt. Of Issue: _____ Valid up to _____
6.	Validity of current authorisation/ previous registration or authorisation , if any in case of renewal ,	:	1. Hazardous and Other Wastes (Management and Transboundary Movement) Rules, 2016.; No.: _____ Dt. Of Issue: _____ Valid up to _____ 2. E-waste (Management) Rules, 2016; No.: _____ Dt. Of Issue: _____ Valid up to _____
7.	Dismantling or Recycling Process [@]	:	Please attach complete details

8.	Installed capacity in MT/year Products Installed capacity	:	Products (Type)	EEE Code*	Installed capacity (MTA)	Process% (Dismantling, recycling)	Storage%	
		1	DISMANTLED-Manually					
		i						
		ii...						
		iii						
		2	DISMANTLED-Mechanized					
		i						
		ii...						
		3	RECYCLED					
		i						
ii..								
%Available area of operation (Attach sheet if required) in sq. mtrs, If Other(pls specify)								
10.	E-waste processed during last three years	:	Year	EEE Code*	Products	Quantity in kg or MTA		
11.	Waste Management		Material Type	Qty in MT or Kg or as applicable	Details			
a.	Waste generation in processing e-waste Please provide details material wise	:						
b.	Provide details of disposal of residue	:	Please provide details					
c.	Name of Treatment Storage and Disposal Facility utilized for	:	Please provide details					
12.	Details of e-waste proposed to be procured from re-processing	:	Please provide details					

13.	Occupational safety and health aspects	:	Please provide details
14.	Details of Facilities for dismantling both manual as well as mechanised:	:	Please provide details
15.	Copy of agreement with Collection Centre	:	Attach
16	Copy agreement with Producer	:	Attach
17.	Details of storage for dismantled e-waste	:	Please provide details
18	Copy of agreement with Recycler	:	Attach
19.	Details of Facilities for Recycling	:	Please provide details
20.	Copy of agreement with Transporter	:	Attach
21.	Copy agreement with Producer	:	Attach
22.	Details of storage for raw materials and recovered materials	:	Please provide details

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I hereby declare that the above statements or information are true and correct to the best of my knowledge and belief.

Signature

Place: _____

Name: _____

Date: _____

Designation: _____

Note:-

- (1) * Sch I of E-Waste (Management Rules 2016
- (2) + Refer Sr.4 of Attachments
- (3) @ Please refer - CPCB's Implementation Guidelines for E-Waste Management) Rules, 2016
- (4) Wherever necessary, use additional sheets to give requisite and necessary details.

ATTACHMENTS:

Sr.No	Attachment	§At/NAt or Ap/NAp
1.	Consent to Operate granted by the concerned State Pollution Control Board under the Water (Prevention and Control of Pollution) Act, 1974, (25 of 1974) and the Air (Prevention and Control of Pollution) Act, 1981 (21 of 1981); Hazardous Waste Authorization	
2.	Certificate of Registration issued by the District Industries Centre or any other government agency authorised in this regard	
3.	Proof of installed capacity of plant and machinery issued by the District Industries Centre or any other government agency authorised in this behalf	
4.	*Authorization letter of occupier ("Occupier ' of a unit (includes manufacturer, producer, consumer, bulk consumer, collection centres, dealers, e-retailer, refurbisher, dismantler and recycler as defined in Sect 2 & 3 of the said Rules) means the person who has ultimate control over the affairs of the unit))	
5.	Authorization Letter from the Occupier for authorized representative /contact person	
6.	Copy of Extended Producers Responsibility Authorisation and Plan, if applicable	
7.	Detailed List of Authorized collection centres/dealers/Producers/recyclers /dismantlers /refurbishers with location address and contact details to cater to the collection needs from respective area within State and their respective	
a.	Copies of agreement document with dealers, manufacturers, refurbishers, recyclers, producers , treatment, storage and disposal facilities (TSDFs) as applicable etc including Authorization copy .s	
b.	area of operation and	
c.	collection plans including details of their collection points /bins / collection vans linked to collection centres or take back system, deposit refund scheme, e-waste exchange, retailers/dealers,	
d.	consumer awareness etc.	
e.	Storage area details(Covered shed/spaces have to be used for storage of E-Waste)plan with adequate fire fighting arrangement escape route, for emergency exit	
f.	Authorized Transportation details and Plan with final disposal to a treatment, storage and disposal facility will follow the provisions under Hazardous and Other Wastes (Management and Transboundary Movement) Rules, 2016	

Sr.No	Attachment	§At/NAt or Ap/NAp
8.	In case of renewal, a certificate of compliance of effluent and emission standards, treatment and disposal of hazardous wastes as applicable from the concerned State Pollution Control Board or any other agency designated for this purpose:	
9.	Detailed List and copies of Authorized Representative name designation & contact No. (Minimum 02 contact nos.)and addresses of Authorized Collection Centres/Dealers/Producers/recyclers/dismantlers /refurbishers as applicable as per respective Authorizations as per applicable statutes	
10.	Certified declaration of hazardous substances in the manufacture of their respective electrical and electronic equipment and their components or consumables or parts or spares in line with requirements stated in Rule 16 & Schedule II as applicable by a third party Authorized agency.	
11.	Authorized declaration of imports or placement in the market for new electrical and electronic equipment (The same shall be permitted only for those which are compliant to provisions of sub-rule (1) and sub rule (4) of rule 16.)	

§At: Attached /NAt: Not Attached or Ap: Applicable /NAp: Not Applicable

Signature

Name: _____

Date: _____

Designation: _____